

FORM PTO-1390
(REV. 01-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

15675P548

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/507057

INTERNATIONAL APPLICATION NO.

FR 2003/000736

INTERNATIONAL FILING DATE

7 March 2003

PRIORITY DATE CLAIMED

3/8/02

TITLE OF INVENTION

Medical Usage Connector Assembly for the Transfer of Fluids

APPLICANT(S) FOR DO/EO/US

Pierre Roy, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items(5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4)).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4)).
20. ☒ Other items or information.

Formal drawings transmittal; Request for Priority; Int'l Search Report; certification of true and correct
translation; PCT Request; Form PCT/RO/125; Form PCT/EB/301; Form PCT/IB/304

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold;">10/507057</div>	INTERNATIONAL APPLICATION NO. FRn 2003/000736	ATTORNEY'S DOCKET NUMBER 15675P548
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21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5): Neither international preliminary amendment examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1080.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>	CALCULATIONS PTO USE ONLY																				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). <input type="checkbox"/>	<div style="border: 1px solid black; padding: 2px;">\$ 920.00</div>																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CLAIMS</th> <th style="text-align: left;">NUMBER FILED</th> <th style="text-align: left;">NUMBER EXTRA</th> <th style="text-align: left;">RATE</th> </tr> <tr> <td>Total claims</td> <td>13 - 20 =</td> <td>0</td> <td>x 18</td> </tr> <tr> <td>Independent claims</td> <td>2 - 3 =</td> <td>0</td> <td>x 86</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ 290</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td></td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	13 - 20 =	0	x 18	Independent claims	2 - 3 =	0	x 86	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 290	TOTAL OF ABOVE CALCULATIONS =				<div style="border: 1px solid black; padding: 2px;">\$ 0.00</div> <div style="border: 1px solid black; padding: 2px;">\$ 0.00</div> <div style="border: 1px solid black; padding: 2px;">\$ 290.00</div> <div style="border: 1px solid black; padding: 2px;">\$ 1210.00</div>
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Total claims	13 - 20 =	0	x 18																		
Independent claims	2 - 3 =	0	x 86																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ 290																		
TOTAL OF ABOVE CALCULATIONS =																					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	<div style="border: 1px solid black; padding: 2px;">\$ 1210.00</div>																				
<div style="text-align: right;">SUBTOTAL =</div>	<div style="border: 1px solid black; padding: 2px;">\$ 605.00</div>																				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <input type="checkbox"/>	<div style="border: 1px solid black; padding: 2px;">\$</div>																				
<div style="text-align: right;">TOTAL NATIONAL FEE =</div>	<div style="border: 1px solid black; padding: 2px;">\$ 605.00</div>																				
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property+	<div style="border: 1px solid black; padding: 2px;">\$</div>																				
<div style="text-align: right;">TOTAL FEES ENCLOSED =</div>	<div style="border: 1px solid black; padding: 2px;">\$ 605.00</div>																				
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">Amount to be refunded:</td> <td style="width: 40%;">\$</td> </tr> <tr> <td>charged:</td> <td>\$</td> </tr> </table>		Amount to be refunded:	\$	charged:	\$																
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charged:	\$																				

a. ☒ A check in the amount of \$ 605.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 02-2666 in the amount of \$ 605.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

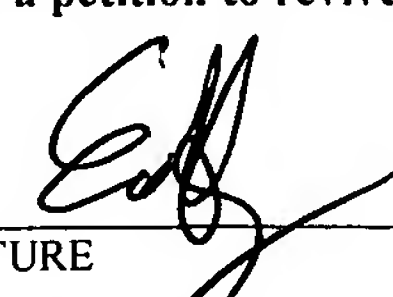
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b) must be filed and granted to restore the application to pending status.

9/7/04

DATE



SIGNATURE

Eric S. Hyman

NAME

30,139

REGISTRATION NUMBER

SEND ALL CORRESPONDENCE TO:
 Blakely, Sokoloff, Taylor & Zafman LLP
 12400 Wilshire Boulevard, 7th Floor
 Los Angeles, CA 90025
 Telephone: (310) 207-3800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

PIERRE ROY, ET AL.

Application No.:

Filed:

For: **Medical Usage Connector Assembly for the
Transfer of Fluids**

Art Group:

Examiner:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 4 sheets including 14 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Dated: 9/7/04


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